

# Variation on cubical sets

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## This work

From discussions with Cyril Cohen, Simon Huber and Anders Mörtberg

## Univalent Foundation

*Previous* work: a constructive model of dependent type theory together with

$$1_a : \text{Id}_A(a, a)$$

$$\text{transp} : C(a) \rightarrow \text{Id}_A(a, x) \rightarrow C(x)$$

$$\text{Id}_{C(a)}(\text{transp } u \ 1_a, u)$$

$$\text{Id}_{(\Sigma x:A)\text{Id}_A(a,x)}((a, 1_a), (x, p))$$

Univalence Axiom (+ Circle)

Dependent type theory:  $\Pi, \Sigma, U, N, W(A, B), N_0, N_1, N_2 \dots$

## Computational Interpretation

A type is interpreted by a cubical set with face operations and the simplest possible notion of degeneracy

Simple enough so that we write a Haskell implementation

In particular, we can effectively transport structures along equivalences

## Computational Interpretation

However

(1) the computation rule for identity elimination is only justified as a *propositional* equality

(2) some computation rules are not “canonical”; in particular the computation rules for *function extensionality* and for *circle elimination*

## This work

We present a *refinement* of the cubical set model

We add *connection* and *diagonal* operations

With *connections* we can reduce the Kan filling operation to a simpler composition operation

With *diagonals* we get a better interpretation of function extensionality and elimination rule over higher inductive type

## This work

The system we interpret contains the rules of Martin-Löf type theory with intensional equality

But the justification of the rules for equality is different

In Martin-Löf type theory equality is inductively defined (least reflexive relation)

Here, equality on  $A$  is explained by induction on  $A$

The axiom of univalence is justified by this explanation

## This work

Still simple enough to be represented in Haskell

We also have experimented with a simple form of higher inductive types (designed by S. Huber)

e.g. pushout, suspension, spheres, propositional truncation

proof that  $S^1$  is equal to the suspension of `Bool` and transport functions



## Constructive models

A *computational model* of univalence is the same as a *constructive model*

For dependent type theory, the computations are done in  $\lambda$ -calculus

For univalence, *nominal* extension of  $\lambda$ -calculus

In particular, we get a justification of *function extensionality* without using function extensionality in the metalogic

## Models

Usual models: Kan simplicial sets, Kan cubical sets

They make use in a crucial way of classical logic

## Models

(1)  $B^A$  is Kan if  $B$  is Kan

“Originally, all the work was expressed in term of the extension condition and some rather grisly-looking combinatoric was involved. The Gabriel-Zisman theory of anodyne extensions gives a way to short-circuit most of the pain” (Goerss-Jardine *Simplicial homotopy theory*)

However the argument with anodyne extensions is still *non effective*

(2) if  $E \rightarrow B$  Kan fibration and  $b_0 \rightarrow b_1$  in  $B$  then  $E(b_0)$  and  $E(b_1)$  are homotopy equivalent

Kripke countermodel showing that this cannot be proved effectively (j.w.w. Marc Bezem)

## Cubical sets

if  $X$  is a topological space we can consider  $X(I)$  set of continuous functions

$$[0, 1]^I \rightarrow X$$

for  $I$  finite set

Elements of  $I$  are called symbols/names/dimensions

## Cubical sets

We want a “combinatorial” definition, also effective at function types

Goal: to design a system of *notations* so that

the syntactical description of the model can be seen as an

*operational semantics*

## Cubical sets

Simplest cubical sets

Maps  $I \rightarrow J$  consists of a disjoint union  $I = I_0, I_1, I'$  with an injection  $I' \rightarrow J$

This defines a category  $\mathcal{C}$

A *cubical set* is a presheaf  $X$  on  $\mathcal{C}^{opp}$

If  $f : I \rightarrow J$  and  $g : J \rightarrow K$  we write  $fg : I \rightarrow K$

Think of  $f$  as a name substitution, and define composition following this intuition

## Cubical sets

An  $I$ -cube is an element of  $X(I)$

E.g. from the cube  $u(i, j, k)$  we can consider

its face  $u(0, j, k)$  or

its edge  $u(0, j, 1)$

adding a fresh dimension  $l$  correspond to degeneracy

## Cubical sets

A *face map* is a map such that where  $J = I'$  and  $I' \rightarrow J$  is the identity

A face map is *epi* and composition of elementary face maps  $(i_0)$  or  $(i_1)$

A map is *strict* if  $I_0 = I_1 = \emptyset$

Strict maps correspond to degeneracies

Any map  $f : I \rightarrow J$  decomposes uniquely in the form

$$f = \alpha g$$

where  $\alpha$  face map and  $g$  is strict



## Cubical sets

A closed type  $\vdash A$  will be interpreted as a presheaf over  $\mathcal{C}^{opp}$

A closed type  $\vdash_I A$  which may depend on the dimension in  $I$  will be interpreted as a presheaf over  $\mathcal{C}^{opp}/I$

We can write  $A(i_1, \dots, i_n)$  if  $I = i_1, \dots, i_n$

We have  $\vdash_J Af$  if  $\vdash_I A$  and  $f : I \rightarrow J$

E.g. if we have  $A(i, j)$  we can consider its face  $A(0, j)$

## Cubical sets

We want a syntax for describing the presheaf model

We introduce judgement  $\vdash_I T$  and  $\vdash_I a : T$  with the *restriction rule*

$$\frac{\vdash_I T}{\vdash_J Tf} \quad \frac{\vdash_I a : T}{\vdash_J af : Tf} \quad f : I \rightarrow J$$

## Cubical sets

Similar categories have been considered in the theory of *nominal sets*

Staton (2010), exercise 9.7 in Andy Pitts' book

*Nominal Sets. Names and Symmetry in Computer Science*

The interval **I** is defined as the presheaf

$$\mathbf{I}(L) = L + \{0, 1\}$$

We also have a natural operation **Path**(*X*)

$$\mathbf{Path}(X)(L) = X(L, i) \text{ where } i \text{ is fresh}$$

However **Path**(*X*) is *not*  $X^{\mathbf{I}}$

## Cubical sets

Syntactically (where  $\iota_i : J \rightarrow J, i$  is the inclusion)

$$\frac{\vdash_J T \quad \vdash_{J,i} a : T\iota_i}{\vdash_J \langle i \rangle a : \text{Path}(T)}$$

$$\frac{\vdash_J a_0 : T \quad \vdash_J a_1 : T \quad \vdash_{J,i} a : T\iota_i \quad \vdash_J a(i0) = a_0 : T \quad \vdash_J a(i1) = a_1 : T}{\vdash_J \langle i \rangle a : \text{Id}_T a_0 a_1}$$

## Name abstraction

If  $\vdash a : T$  then  $\langle i \rangle(a\iota_i)$  is a constant path

$(\langle i \rangle a)g = \langle j \rangle ah$  if  $g : J \rightarrow K$  and  $h = (g, i = j) : J, i \rightarrow K, j$

## Diagonals

We take away the restriction  $I' \rightarrow J$  injective

We can now consider a map  $\{i, j\} \rightarrow \{k\}$

Sends a square  $u(i, j)$  to its diagonal  $u(k, k)$

Now  $\text{Path}(X)$  is the same as  $X^{\mathbf{I}}$

$\langle i \rangle a$  can be thought of as ordinary  $\lambda$ -abstraction over names

## Kan operations

### *Composition and Filling*

We consider  $\vdash_{J,i} X$

If we have  $a_{i0}$  in  $X(i0)$  and  $u_{jb}$  in  $X(jb)$  for all  $j$  in  $J$

and we have  $a_{i0}(jb) = u_{jb}(i0)$

Then we have  $a_{i1}$  in  $X(i1)$  such that

$$a_{i1}(jb) = u_{jb}(i1)$$

For the filling we ask to have  $a$  in  $X$  such that  $a(jb) = u_{jb}$  and  $a(i0) = a_{i0}$

## Kan operations

“Any open box can be filled”

Kan (1955) version before simplicial sets

If  $\vdash_i X$  we don't have effectively an equivalence between  $X(i0)$  and  $X(i1)$



## Kan operations

What would be a syntax for this operation?

$$\frac{\vdash_{J,i} X \quad \vdash_J a_{i0} : X(i0) \quad \vdash_{J-j,i} u_{jb} : X(jb)}{\vdash_J \mathbf{comp}_{X,\vec{u}}^i(a_{i0}) : X(i1)}$$

The name  $i$  is “bound” in this operation

$$\frac{\vdash_{J,i} X \quad \vdash_J a_{i0} : X(i0) \quad \vdash_{J-j,i} u_{jb} : X(jb)}{\vdash_{J,i} \mathbf{fill}_{X,\vec{u}}^i(a_{i0}) : X}$$

We have for instance  $\vdash_{J,i} \mathbf{fill}_{X,\vec{u}}^i(a_{i0})(i0) = a_{i0} : X(i0)$

## Kan operations

If  $g : J \rightarrow K$  we should explain what is

$$\text{comp}_{X, \vec{u}}^i(a_{i0})g : X(i1)g$$

It is natural to introduce *new* Kan operations so that we can write

$$\text{comp}_{X, \vec{u}}^i(a_{i0})g = \text{comp}_{Xh, \vec{u}h}^j(a_{i0}g) : X(i1)g$$

if  $g : J \rightarrow K$  and  $h = (g, i = j) : J, i \rightarrow K, j$  where  $j$  not in  $K$

## Kan operations

Introducing these new operations solves the effectivity problem!

For instance if  $\vdash_i X$  we can define effectively the equivalence map between  $X(i0)$  and  $X(i1)$

Any groupoid defines a cubical set with these Kan operations

$B(I)$  free Boolean algebra on  $I$  defines a Kan cubical set

## Other type theoretic operations

$\Gamma \vdash_I$  is interpreted as a presheaf over  $\mathcal{C}^{opp}/I$

$\Gamma \vdash_I T$  and  $\Gamma \vdash_I a : T$  gets also a natural interpretation

We have e.g.

$$\frac{\Gamma, x : A \vdash_I t : B}{\Gamma \vdash_I \lambda x.t : (x : A) \rightarrow B}$$

## Other type theoretic operations

The general restriction rule is

$$\frac{\Gamma \vdash_I a : T}{\Gamma f \vdash_J af : Tf}$$

## Kan operations

Kan compositions explain the operation

$$\text{Id}_A \ a_0 \ a_1 \rightarrow B(a_0) \rightarrow B(a_1)$$

For getting the right equality for elimination rule we need a *regularity* condition

$$\text{comp}_{A, \vec{u}}^i(a_{i0}) = a_{i0}$$

whenever  $A, \vec{u}$  is independent of  $i$

## Kan composition for product

We define Kan composition and Kan filling for types by induction on the type

Simple for  $\text{Id}_A$   $a_0$   $a_1$  since we have Kan operations

For dependent product the definition is complex and not canonical

Essentially because the *composition* operation for  $(x : A) \rightarrow B$  requires the *filling* operation for  $A$

In particular, the regularity condition does not seem to be preserved

## Connections

We change the base category  $\mathcal{C}$

A morphism  $I \rightarrow J$  is now a map  $I \rightarrow D(J)$  where  $D(J)$  is the *free distributive lattice* on  $J$

We still have face maps  $\alpha$

A map  $f : I \rightarrow J$  is *strict* if it never takes the values  $0, 1$

Any map is uniquely the composition of a face map and a strict map



## Connections

If we have a line  $u(i)$  we can consider the squares  $u(i \wedge j)$  and  $u(i \vee j)$

The interval is now  $\mathbf{I}(J) = D(J)$

Geometrically we think of  $i \wedge j$  as  $\min(i, j)$  and  $i \vee j$  as  $\max(i, j)$

## Connections

With connections, we can reduce the *filling* operations to the *composition* operations

$$\text{fill}_{A, \vec{u}}^i(a_{i0}) = \text{comp}_{A, \vec{u}_f}^j(a_{i0} \iota_j)$$

where  $f : I, i \rightarrow I, i, j$  is defined by  $f(i) = i \wedge j$

Regularity condition is now preserved by dependent product

## Symmetry

All operations are symmetric in  $0$  and  $1$

It is natural to add an operation  $1 - i$  on names

The map  $I \rightarrow J$  are now maps  $I \rightarrow \mathbf{dM}(J)$  where  $\mathbf{dM}(J)$  is the free *de Morgan algebra* on  $J$

Gives an interpretation of symmetry of equality which is definitionally involutive

## Demo

<https://github.com/simhu/cubical>

on the branch

connections\_hsplitt

Design choice: programming language with dependent types

Total fragment

In the total fragment conversion and type-checking are terminating

## Some references

“A model of type theory in cubical sets”

M. Bezem, T.C. and S. Huber, proceeding of TYPES 2013

“Variation on cubical sets”

T.C. [www.cse.chalmers.se/~coquand/comp.pdf](http://www.cse.chalmers.se/~coquand/comp.pdf)

*Names and Symmetry in Computer Science*

A.M. Pitts

“An equivalent presentation of the Bezem-Coquand-Huber category of cubical sets”

A. M. Pitts. Preprint arXiv, December 2013.

## Some references

The Univalent Foundation Program

*Homotopy Type Theory: Univalent foundation of mathematics*

V. Voevodsky Univalent foundation home page and  
“Experimental library of univalent foundation of mathematics”